

WSBA NWSidebar Posted: August 6, 2015

State v. Silva-Gonzales: Court of Appeals on What Is a "Necessary" Witness Under the Lawyer-Witness Rule

By Mark J. Fucile Fucile & Reising LLP

The "lawyer-witness" rule—RPC 3.7—generally prohibits a lawyer from acting as trial counsel if the lawyer will be a "necessary" witness. Division III of the Court of Appeals recently discussed what the word "necessary" means in this context in *State v. Silva-Gonzales*, 2015 WL 3618620 (Wn. App. June 9, 2015) (unpublished). The lawyer involved was representing a criminal defendant and had related some information about the investigation to his client. The client, in turn, was taped in a jailhouse telephone conversation (not with the lawyer) discussing an aspect of the facts of the case of which the lawyer was one—but not the exclusive—source of the information involved. When the prosecution sought to play the recording to the jury, the lawyer objected and, once it was admitted, moved to withdraw—arguing that the recording had made him a witness on the source of the information. The trial court denied the motion and the Court of Appeals affirmed. In doing so, both concluded that the lawyer was not a "necessary" witness as that term is used in RPC 3.7.

The rule itself does not define the word "necessary." The Court of Appeals put it this way addressing that term: "[C]ounsel never showed why he, and he alone, could provide the information and why the information was critical



Page 2

to the case." (*Id.* at *4). *Silva-Gonzales* echoes another comparatively recent Division III decision in published form on this point: *American States Ins. Co. ex rel. Kommavongsa v. Nammathao*, 153 Wn. App. 461, 220 P.3d 1283 (2009). In that earlier decision, Division III surveyed both Washington and national law on this question and concluded that for a lawyer to be a "necessary" witness "the attorney will give evidence material to the determination of the issues being litigated . . . [and] . . . that the evidence is unobtainable elsewhere[.]" *Id.* at 467 (citation omitted). The federal district court in Seattle used that same standard in *Microsoft Corp. v. Immersion Corp.*, 2008 WL 682246 at *2 (W.D. Wash. March 7, 2008) (unpublished). In sum, if there are 10 witnesses to an event and they all say the same thing, then a lawyer does not become a "necessary" witness under the rule. Rather, the prohibition is only triggered if the lawyer must play a unique role at trial in supplying material evidence.

ABOUT THE AUTHOR

Mark J. Fucile of Fucile & Reising LLP focuses on legal ethics, product liability defense and condemnation litigation. In his legal ethics practice, Mark handles professional responsibility, regulatory and attorney-client privilege matters and law firm related litigation for lawyers, law firms and legal departments throughout the Northwest. He is a past member of the Oregon



Page 3

State Bar's Legal Ethics Committee, has chaired both the Washington State Bar Committee on Professional Ethics and its predecessor, the Rules of Professional Conduct Committee, is a member of the Idaho State Bar Professionalism & Ethics Section and is a co-editor of the OSB's Ethical Oregon Lawyer and the WSBA's Legal Ethics Deskbook. Mark also writes the monthly Ethics Focus column for the Multnomah (Portland) Bar's Multnomah Lawyer, the quarterly Ethics & the Law column for the WSBA NWLawyer (formerly Bar News) and is a regular contributor on risk management to the OSB Bar Bulletin, the Idaho State Bar Advocate and the Alaska Bar Rag. Mark has also taught legal ethics as an adjunct for the University of Oregon School of Law's Portland campus. Mark's telephone and email are 503.224.4895 and Mark@frllp.com.